

**ILLINOIS DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION (IDFPR)  
DIVISION OF REAL ESTATE (DRE)**

**AUCTION ADVISORY BOARD**

**OPEN MINUTES**

Date: August 24, 2021

Call to Order: 11:01 a.m. – Thomas Walsh - Chairperson

Illinois Department of Financial and Professional Regulation (IDFPR)/Division of Real Estate (DRE)

Location: This meeting was held remotely via interactive webinar and/or telephonically because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration, and because the Secretary of the Illinois Department of Financial and Professional Regulation has determined pursuant to the provisions of Section 7 of the Open Meetings Act that an in-person meeting is not practical or prudent because of a disaster.

Board Members Present: Jessica Doerr-Berger, Kelly T. Frank, Gwendolene Newton, Brian A. Swartz, Thomas Walsh

Board Member Not Present: Carol Spracklen

Staff Members Present: Adrienne Levatino – Associate General Counsel, Jeremy Reed - Chief of Licensing and Education, Ericka Johnson – Deputy Director of the Division of Real Estate, Geetu Naik – Chief of Prosecutions, Hector Rodriguez – Chief of Investigations, Debra Malinowski – Real Estate Administration and Disciplinary Board Liaison, Susan Sigourney – Auction Board Liaison

TOPIC	DISCUSSION	ACTION
<p><b>Call to Order</b></p>	<p>Chairperson Thomas Walsh called the meeting to order and he read a mandatory statement regarding the Open Meetings Act. <i>This meeting is being conducted by audio or video conference without the physical presence of a quorum of the members because the Governor of the State of Illinois has issued a disaster declaration related to public health concerns and an in-person meeting is not practical or prudent because of the disaster described in that declaration. This meeting is further being conducted by audio or videoconference because the Secretary of the Illinois Department of Financial and Professional Regulation has determined pursuant to the provisions of Section 7 of the Open Meetings Act that an in-person meeting is not practical or prudent because of a disaster. All votes will be conducted by roll call, so each member's vote on each issue can be identified and recorded.</i></p> <p style="text-align: center;">Attendance Taken:  Jessica Doerr-Berger – present  Kelly T. Frank – present  Gwendolene Newton – present  Brian Swartz – present  Thomas Walsh - present</p> <p>Ericka Johnson, Deputy Director of the Real Estate Division, told the Board that the Director was sorry she was unable to be present at this meeting, but Ms. Johnson wanted to introduce Geetu Naik, the new Chief of Prosecutions. Geetu Naik introduced herself to the Appraisal Board. The Board welcomed Geetu Naik.</p>	<p>The meeting was called to order at 11:01 a.m.</p>

<p><b>Review and Approval of Open Minutes:</b></p>	<p>The Board reviewed the Open Minutes from the June 22, 2021 Auction Advisory Board meeting.</p> <p style="text-align: center;">Roll Call Vote Taken:          Jessica Doerr-Berger – yes          Kelly T. Frank – yes          Gwendolene Newton – yes          Brian Swartz – yes          Thomas Walsh - yes</p>	<p>A motion was made by Doerr-Berger and seconded by Swartz to approve the Open Minutes as presented from the June 22, 2021 Auction Advisory Board meeting. The motion carried by roll call vote.</p>
<p><b>Public Comments</b></p>	<p>There were no public comments.</p>	
<p><b>Licensing and Education Report</b></p>	<p>The 2021 Licensing Report for activity conducted in July was presented and discussed. A copy of the report is attached to and made part of these minutes. Jeremy Reed discussed the Auction License Report.</p> <p>Jeremy Reed explained his staff continues working remotely from their homes due to the COVID – 19 Pandemic. Mr. Reed explained that due to a system upgrade currently in process, some members of the team are going into the office to work on processing Appraisal and Community Association Manager paper renewals. Mr. Reed explained these two professions had to be renewed manually with paper renewal and checks as opposed to online renewals due to this system upgrade.</p> <p>Jeremy Reed explained there is a report in SharePoint for the Board members titled “Auction License Counts” which compares the number of Auction licenses from 2015 to today.</p>	
<p><b>Investigations Report</b></p>	<p>The 2021 Auction Investigations Report through the month of July was presented and distributed. A copy of the report is attached to and made a part of these minutes.</p>	

	Mr. Rodriguez explained his staff continues to work remotely. Mr. Rodriguez explained there are 36 Auction cases pending in Investigations and we have received one new Auction case in July.	
<b>Prosecutions Report</b>	<p>The 2021 Auction Prosecutions Report through the month of July was presented and distributed. A copy of the report is attached to and made part of these minutes.</p> <p>Geetu Naik, Chief of Prosecutions, explained there are 4 cases pending in Prosecutions at time.</p>	
<b>Formal Hearing Schedule</b>	There were no formal hearings scheduled.	
<b>Old Business</b>	There was no old business to discuss.	
<b>New Business:</b>	There was no new business to discuss.	
<b>Motion to go into Closed Session</b>	<p>Roll Call Vote taken:          Jessica Doerr-Berger – yes          Kelly T. Frank – yes          Gwendolene Newton – yes          Brian Swartz – yes          Thomas Walsh - yes</p>	A motion was made by Swartz and seconded by Frank to go into Closed Session pursuant to Section 2 (c) (4) and (15) of the Open Meetings Act at 11:12 a.m. The motion carried by roll call vote.
<b>Closed Session</b>	<p>The Board reviewed the June 22, 2021 Closed Minutes.</p> <p>The Board deliberated on pending enforcement actions.</p>	
<b>Motion to go into Open Session</b>	<p>Roll Call Vote taken:          Jessica Doerr-Berger – yes          Kelly T. Frank – yes</p>	A motion was made by Frank and seconded by Swartz to go into Open



	<p>Brian Swartz - yes Thomas Walsh - yes</p> <p>The next meeting is scheduled for October 26, 2021.</p>	<p>and seconded by Doerr-Berger to adjourn at 12:07 p.m. The motion carried by roll call vote.</p>
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# Licensing Report

Prepared by Jeremy N Reed

YTD - thru August 1, 2021

**Filtered By**

- Board = AUCTIONEER
- START DATE = 01/01/2021
- END DATE = 08/01/2021

8/2/2021 at 1:21:18 PM

Profession	ProfessionDescription	Sponsor_Chg	Applications	Initial_lic	Transitions	Renewals	Active_Licenses
440	Licensed Auctioneer	2	0	0	0	41	283
441	Licensed Auctioneer	9	29	29	0	115	877
444	Licensed Auction Firm	2	11	11	0	20	168
445	Licensed Auction CE School	0	0	0	0	0	5
446	Licensed Auction CE Course	0	0	1	0	0	45
<b>Totals</b>		13	40	41	0	176	1,178

Illinois Auctioneer Examination

	ALL EXAMINEES		REPEATERS		FIRST TIMERS	
<b>TOTAL NUMBER TESTED</b>	35		10		25	
<b>TOTAL NUMBER PASSED</b>	25	71.43 %	9	90.00 %	16	64.00 %
<b>TOTAL NUMBER FAILED</b>	10	28.57 %	1	10.00 %	9	36.00 %

Illinois Home Inspector Examination

**AUCTION INVESTIGATIONS REPORT**

**JULY 2021**

	<b>Pending Auction Cases</b>	<b>CASES OVER 5 MONTHS OLD</b>	<b>New Auction Cases Received</b>	<b>Referred to Prosecutions</b>	<b>Recommended for Closure</b>	<b>Closed at Complaint</b>
<b>JANAURY</b>	26	22	2	2	0	0
<b>FEBRUARY</b>	31	21	6	1	0	0
<b>MARCH</b>	29	19	1	0	3	0
<b>APRIL</b>	33	20	6	2	0	0
<b>MAY</b>	34	20	1	0	0	0
<b>JUNE</b>	36	22	3	0	1	0
<b>JULY</b>	36	25	1	0	1	0
<b>AUGUST</b>						
<b>SEPTEMBER</b>						
<b>OCTOBER</b>						
<b>NOVEMBER</b>						
<b>DECEMBER</b>						
<b>TOTAL</b>			20	5	5	0





**AUCTION INVESTIGATIONS REPORT**  
**JULY 2021**



## AUCTION PROSECUTIONS REPORT 2021

June

	Pending Open Cases	Formal Complaints Filed	New Cases Rec'd / reopen	3 months or less	cases over 3 months	cases over 6 months	cases over 9 months	cases over 12 months	cases over 24 months	CLOSED	CLOSED Admin	CLOSED Admin Warn Letter	Closed CE with memo	CLOSED Formal Order	CLOSED: Consent Order	CLOSED : Non-Disc Order	IL Inc Tax	Child Support	Motion for Rehearing filed	
JANUARY	6	0	2	2	3	1	0	0	0	1	0	0	0	0	0	0	0	0	0	1
FEBRUARY	7	0	1	3	3	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MARCH	4	1	0							3	0	1	0	0	2	0	0	0	0	0
APRIL	6	0	2	2	2	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MAY	4	2	0	2	2	0	0	0	0	2	0	1	0	0	0	1	0	0	0	0
JUNE	4	0	1	0	2	2	0	0	0	1	1	0	0	0	0	0	0	0	0	0
JULY	4	0	0	0	2	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AUGUST										0										
SEPTEMBER										0										
OCTOBER										0										
NOVEMBER										0										
DECEMBER										0										
TOTAL		3	6							7	1	2	0	0	2	1	0	0	0	1