

# SAVINGS BANK HOLDING COMPANY REGISTRATION

**IMPORTANT NOTICE**

This form is authorized by the Savings Bank Act. Disclosure of applicable information is required for a complete application. Submission of an application is VOLUNTARY and there is no penalty for noncompliance. This form has been approved by the State Forms Management Center.

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## FILING INSTRUCTIONS

The filing fee for initial registration is \$1,000 which may be paid by a certified or bank check or draft or money order made payable to the Illinois Department of Financial and Professional Regulation, Division of Banking pursuant to Title 38, Chapter II, Section 1075.1305(a) of the Illinois Administrative Code.

This form must be filed with the Illinois Department of Financial and Professional Regulation, Division of Banking, Thrift Regulation, 122 South Michigan Avenue, 1900, Chicago, IL 60603 (312-793-1456/TDD 312-793-0291).

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## REGISTRANT INFORMATION

1. Check appropriate answer.                    \_\_\_\_\_ New                    \_\_\_\_\_ Renewal
2. Full legal name
3. Address
4. Phone number ( \_\_\_\_ ) \_\_\_\_\_
5. Contact name/title  
    Address if different from above
6. Name, address and phone number of firm's licensed public accountant.
7. Attach the names and address of all officers and directors at the end of this form.
8. Attach the names and addresses of all affiliates and subsidiaries at the end of this form.
9. Attach a list of all persons who own or control 10% or more of the voting stock or proxies of the holding company and the amount they own or control at the end of this form.
10. Attach one (1) copy of the annual audit at the end of this form.
11. Attach a copy of the holding company's charter and by-laws at the end of this form.
12. Has any proposed director, officer or employee been indicated or convicted of or pleaded nolo contendere to any

criminal matter involving dishonesty or a breach of trust in any state or federal court? Check appropriate answer.

\_\_\_\_\_ Yes                      \_\_\_\_\_ No

If yes, attach a complete explanation at the end of this form.

13. Has any business or enterprise with which a proposed officer or director is or was associated as partner, officer, director or major shareholder [owning 5% (five percent) or more of the outstanding voting stock] been the subject of an indictment, conviction or plea of nolo contendere on any criminal matter involving dishonesty or a breach of trust? Check appropriate answer.

\_\_\_\_\_ Yes                      \_\_\_\_\_ No

If yes, attach a complete description at the end of this form.

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**VERIFICATION**

Signature \_\_\_\_\_ Title \_\_\_\_\_

Signature \_\_\_\_\_ Title \_\_\_\_\_

STATE OF \_\_\_\_\_

Subscribed and sworn to before me this

COUNTY OF \_\_\_\_\_

day of \_\_\_\_\_, 20\_\_\_\_\_.